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WASHINGTON, DC 20001

EXAMINER

JEAN GILLES, JUDE

ART UNIT	PAPER NUMBER
2143	

2143

DATE MAILED: 03/28/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/895,532	WANG, BING	
	<b>Examiner</b>	<b>Art Unit</b>	
	Jude J Jean-Gilles	2143	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1)  Responsive to communication(s) filed on 29 November 2004.
- 2a)  This action is **FINAL**.                      2b)  This action is non-final.
- 3)  Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4)  Claim(s) 1-50 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5)  Claim(s) \_\_\_\_\_ is/are allowed.
- 6)  Claim(s) 1-13, 17, 20-30, 32-34, 37-44 and 48 is/are rejected.
- 7)  Claim(s) 14-16, 18, 19, 31, 35, 36, 45-47, 49 and 50 is/are objected to.
- 8)  Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9)  The specification is objected to by the Examiner.
- 10)  The drawing(s) filed on 29 June 2001 is/are: a)  accepted or b)  objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11)  The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12)  Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a)  All    b)  Some \*    c)  None of:
1.  Certified copies of the priority documents have been received.
2.  Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3.  Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).
- \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |  |
|---|--|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input checked="" type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)  | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)                        |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>01/07/03</u> . | 6) <input type="checkbox"/> Other: _____   |

### **DETAILED ACTION**

This Action is in regards to the Reply received on 29 November, 2004.

#### ***Response to Amendment***

1. This action is responsive to the applicant's argument filed on November 29<sup>th</sup>, 2004. Original claims 1-36 were not amended. Claims 37-50 are newly added. Claims 1-50 are pending. Claims 1-50 represent a method and system for an "handling incoming mail messages."

#### ***Response to Arguments***

2. Applicant's arguments with respect to independent claims 1, 20, 24, and 26 have been carefully considered, but are not deemed fully persuasive. Furthermore, applicant's argument regarding new claims 37-50 have also been fully considered and are not persuasive. Applicant's arguments are deemed moot in view of the following new ground of rejection as explained here below, necessitated by Applicant substantial amendment (i.e., a method an apparatus wherein incoming electronic mail messages are being handled) to the claims which significantly affected the scope thereof. The dependent claims stand rejected as articulated in the First Office Action and all objections not addressed in Applicant's response are herein reiterated.

In response to Applicant's arguments, 37 CFR § 1.11(c) requires applicant to "clearly point out the patentable novelty which he or she thinks the claims present in

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view of the state of the art disclosed by the references cited or the objections made. He or she must show the amendments avoid such references or objections.”

The Examiner maintains the arguments presented in the first Office Action as outlined below and the rejection is therefore sustained.

***Claim Rejections - 35 USC § 102***

3. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.

4. **Claims 1-10, 20-28, 30, 32-34, and 37-41** are rejected under 35 U.S.C. 102(e) as being unpatentable by Donaldson et al (Donaldson), U.S. 6,321,267 B1.

Donaldson teaches a method and a system to filter electronic junk mails wherein an IP filtering mechanism is provided to handle incoming mails in a mail server environment from a host.

**Regarding claim 1:** Donaldson et al teach a method suitable for use in a communication device for determining the disposition of incoming e-mail from a sender (*column 2, lines 37-41; fig. 1, items 1045-1048*), said method comprising the steps of:

establishing the identity of the sender to provide a sender identifier (*column 6, lines 14-20; column 14, lines 63-67; fig. 14, items 1401-1406*);

determining a cumulative penalty count value associated with said sender

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identifier (*column 23, lines 25-36; column 22, lines 25-33; fig. 16, items 1500-1518; note that the penalty count value here is the threshold number points or the matching count*);

retrieving a system resource usage status associated with the communication device (*column 6, lines 42-52*); and

processing the incoming e-mail on the basis of said cumulative penalty count value and said system resource usage status (*column 22, lines 28-33; column 16, 12-64*)

**Regarding claim 2:** Donaldson et al teach the method of claim 1 wherein said step of establishing the identity of the sender comprises the step of ascertaining an IP address for the sender (*column 6, lines 14-20*).

**Regarding claim 3:** Donaldson et al teach the method of claim 1 wherein said step of establishing the identity of the sender comprises the step of associating the sender with a peer IP address of the sender TCP connection (*column 6, lines 42-52*).

**Regarding claim 4:** Donaldson et al teach the method of claim 1 wherein said step of determining a cumulative penalty count value comprises the step of assessing a penalty count value to said sender identifier for an undesirable activity associated with the sender (*column 22, lines 23-33; note that the penalty count value here is the threshold number points and if the value of the match point exceeds the threshold, there is an undesirable activity associated with the remote host*).

**Regarding claim 5:** Donaldson et al teach the method of claim 4 wherein said cumulative penalty count value comprises an activity penalty count charged to the sender for current undesirable sender activity and a time-dependent penalty count determined from previous undesirable sender activity (*column 22, lines 23-33; column 5, lines 59-67; column 6, lines 1-2; note that attempting to send a copy of the message 100 times suggest that the penalty count dependent on previous undesirable sender's activity*).

**Regarding claim 6:** Donaldson et al teach the method of claim 5 wherein said time-dependent penalty count comprises a zero value subsequent to a pre-established retention period (*column 17, lines 59-64; note that if the result is zero, then the remote host matches the particular node filter*).

**Regarding claim 7:** Donaldson et al teach the method of claim 5 wherein said time-dependent penalty count comprises a prior activity penalty count value reduced by a decay factor (*column 21, lines 12-56; note that the filter scans the node name of the remote host for certain sequences and adds or subtracts points*).

**Regarding claim 8:** Donaldson et al teach the method of claim 4 wherein said undesirable activity comprises a member of the group consisting of: sending a large number of e-mails (*column 1, lines 14-20*), sending e-mails of relatively large sizes (*column 1, lines 17-19*), using a relatively large amount of TCP connection time (*column 26, lines 1-11*), and causing a TCP timeout (*column 33, lines 20-23; Note the appearance of the interrupted TCP connection*).

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**Regarding claim 9:** Donaldson et al teach the method of claim 1 wherein said system resource usage status is a function of a member of the group consisting of: the number of concurrent TCP connections being maintained (*column 25, lines 59-64*), the number of e-mail files in an incoming message queue, and the amount of disk space being utilized for an incoming message queue (*column 5, lines 52-58; Donaldson et al disclose a message store and its mail queue that keeps undelivered messaged for up to a week*).

**Regarding claim 10:** Donaldson et al teach the method of claim 1 wherein said step of processing the incoming e-mail comprises the step of assigning an operating state to the communication device, said operating state being a function of said system resource usage status (*column 16, lines 15-19; Donaldson et al disclose a proxy which deallocates resources and resets internal state variables*).

**Regarding claim 20:** Donaldson et al teach a communication device for determining the disposition of incoming e-mail from a sender, said device comprising: a penalty count filter module (*fig. 13, item 1401*) having

means for identifying the sender (*column 6, lines 14-20; column 14, lines 63-67*);

means for assigning a penalty count to the sender, said penalty count being a function of undesirable activity associated with the sender (*column 8, lines 1-17*);

means for determining a resource usage value for said communication device in receiving e-mail (*column 16, lines 12-19*);

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means for specifying an operating state for said penalty count filter module, said operating state being a function of said resource usage value (*column 16, lines 12-19*); and

an accept/reject filter for disposing of the incoming e-mail on the basis of said sender penalty count and said operating state (*column 3, lines 43-45*).

**Regarding claim 21:** Donaldson et al teach the method of claim 20 wherein said means for identifying the sender includes means for obtaining at least one of a Domain Name Service verification (*column 12, lines 45-49*) and a peer IP address of the sender TCP connection (*fig. 14, item 1404; fig. 13, item 1470*).

**Regarding claim 22:** Donaldson et al teach the method of claim 20 wherein said undesirable activity comprises a member of the group consisting of:

sending a large number of e-mails (*column 1, lines 14-20*), sending e-mails of relatively large sizes (*column 1, lines 17-19*), using a relatively large amount of TCP connection time (*column 26, lines 1-11*), and causing a TCP timeout (*column 33, lines 20-23; Note the occurrence of the interrupted TCP connection*).

**Regarding claim 23:** Donaldson et al teach the method of claim 20 wherein said system resource usage status is a function of a member of the group consisting of: the number of concurrent TCP connections being maintained (*column 25, lines 59-64*), the number of e-mail files in an incoming message queue, and the amount of disk space being utilized for an incoming message queue (*column 5, lines 52-58; Donaldson et al disclose a message store and its mail queue that keeps undelivered messages for up to a week*).



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**Regarding claim 24:** Donaldson et al teach a communication device for determining the disposition of incoming e-mail from a sender, said device comprising:

a sender penalty count data structure for storing a current penalty count value associated with the sender (*column 23, lines 25-36; fig. 16, items 1500-1518; note that the data structure is represented by table 4 in column 23 and that matching count represents the penalty count*);

a system resource usage status file for storing a current usage status value for device e-mail processing resources (*column 17, lines 27-48; note that the system resource becomes unavailable for email processing once the proxy determines that the remote network has been placed in a blacklisted database*); and

an accept/reject filter for disposing of the incoming e-mail on the basis of said penalty count value (*column 3, lines 43-45*) and said usage status (*column 17, table 1, hostname values*).

**Regarding claim 25:** Donaldson et al teach a method claim 24 wherein said sender penalty count data structure includes an entry comprising a member of the group consisting of:

a sender identification value (*column 22, lines 40-44*),

a cumulative penalty count value (*column 22, lines 46-49*),

a cumulative e-mail count (*column 32, lines 41-45; note that the number of recipient here represents the number of emails*),

a total e-mail size (*column 32, lines 45-48*),

a total TCP connection time (*column 26, lines 9-11*), and

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a timestamp value (*column 4, line 27*).

**Regarding claim 26:** Donaldson et al teach a method suitable for use in a communication device for determining the disposition of incoming e-mail from a sender, said method comprising the steps of:

identifying the e-mail sender by determining a sender IP address (*column 6, lines 14-24*);

obtaining a previous sender penalty count value calculated for said sender IP address (*column 22, lines 40-49; note that the matching mechanism is used here as the penalty count and that the 32-bit address for the remote host is used to calculate the IP address of its 20 neighbors*); and

accepting or rejecting the incoming e-mail based on said sender penalty count value (*column 3, lines 43-45*) and said usage status (*column 17, table 1, hostname values*).

**Regarding claim 27:** Donaldson et al teach the method of claim 26 further comprising the steps of:

maintaining a behavior trace table entry for the e-mail sender (*column 21-22, table 3*); and

determining said previous sender penalty count from said behavior trace table (*column 21-22, table 3, offset value*).

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**Regarding claim 28:** Donaldson et al teach the method of claim 27 further comprising the step of updating sender behavior values in said trace table entry in response to receipt of a sender e-mail (*column 17, lines 65-67; column 18, lines 1-7; fig. 14, item 1408; note that if the sender's IP address matches an entry in the blacklist database, the proxy server issues an error reply to the remote host, closes the connection, logs the rejected connection, and exits without any email being transferred*).

**Regarding claim 30:** Donaldson et al teach the method of claim 28 wherein said step of updating sender behavior values comprises the steps of:

reducing said behavior trace table value by a decay factor (*column 21, lines 45-67; column 21, table 3; the offset value has a decay factor +1*); and

adding a current behavior trace table value to said corresponding reduced behavior trace table value (*column 21, lines 45-67; column 21, table 3*).

**Regarding claim 32:** Donaldson et al teach the method of claim 26 wherein said sender penalty count value is determined from undesirable sender activity occurring over a pre-established retention period (*column 5, lines 52-58; note that the relay host will usually keep undelivered messages in its queue for up to a week*).

**Regarding claim 33:** Donaldson et al teach the method of claim 32 wherein said undesirable activity comprises a member of the group consisting of:

sending a large number of e-mails (*column 1, lines 14-20*), sending e-mails of relatively large sizes (*column 1, lines 17-19*), using a relatively large amount of TCP connection time (*column 26, lines 1-11*), and causing a TCP timeout (*column 5, line 52-54; column 33, lines 20-23; note the occurrence of the interrupted TCP connection*).

**Regarding claim 34:** Donaldson et al teach the method of claim 26 further comprising the step of updating said sender penalty count value (*column 21, lines 12-15*).

**Regarding claim 37:** Donaldson et al teach the method for by a communication device for determining the disposition of incoming e-mail from a sender (*column 2, lines 37-41; fig. 1, items 1045-1048*), said method comprising steps of:

establishing an identity of the sender (*column 6, lines 14-20; column 14, lines 63-67; fig. 14, items 1401-1406*);

determining a penalty count value associated with said identity, wherein said cumulative penalty count is based on a behavior of the sender (*column 23, lines 25-36; column 22, lines 25-33; fig. 16, items 1500-1518; note that the penalty count value here is the threshold number points or the matching count*);

retrieving a system resource usage status associated with the communication device (*column 6, lines 42-52*); and

processing the incoming e-mail based on the penalty count value and the system resource usage status (*column 22, lines 28-33; column 16, 12-19*).

**Regarding claim 38:** Donaldson et al teach the method of claim 37, wherein said step of establishing the identity of the sender comprises the step of ascertaining an IP address of the sender (*column 6, lines 14-20*).

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**Regarding claim 39:** Donaldson et al teach the method of claim 37, wherein said step of determining a cumulative penalty count value comprises the step of assessing a penalty count value to said sender identity based on an undesirable activity performed by the sender(*column 22, lines 23-33*).

**Regarding claim 40:** Donaldson et al teach the method of claim 37, wherein said cumulative penalty count value comprises a prior penalty count value reduced by a decay factor(*column 21, lines 12-56; note that the filter scans the node name of the remote host for certain sequences and adds or subtracts points*).

**Regarding claim 41:** Donaldson et al teach the method of claim 37 wherein said step of processing the incoming e-mail comprises the step of assigning an operating state to the communication device, said operating state being a function of said system resource usage status (*column 16, lines 12-19*).

### ***Claim Rejections - 35 USC § 103***

5. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

6. **Claims 11-13, 17, 42-44, and 48** are rejected under 35 U.S.C. 102(e) as being unpatentable over Donaldson, in view of Munger et al (Munger), U.S. 6,502,135 B1).

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Regarding **claims 11 and 42**, Donaldson teaches the invention substantially as claimed. Donaldson discloses a method, wherein said operating state is a member of the group consisting of a normal operating state (*column 16, lines 15-19*), and a selective-rejection operating state (*column 14, lines 63-67, column 15, lines 1-2*), but fails to specifically disclose a random-rejection operating state.

In the same field of endeavor, Munger discloses (*..a random sync values that feed a random number generator, to prevent spooling attacks using a hashing technique of a time stamp or sequence number, and to establish the concept of future and past states while validating or rejecting the incoming packets in a network device...*) [see Munger, *column 26, lines 14-45*].

Accordingly, it would have been obvious to one of ordinary skill in the networking art at the time the invention was made to have incorporated Munger's teachings of the random rejection of incoming packets, with the teachings of Donaldson, for the purpose of "*getting advantage of quickly rejecting packets from unauthorized users such as hacker computer 3105...*" as stated by Munger in lines 25-36 of column 45. By this rationale **claims 11 and 42** are rejected.

Regarding **claims 12 and 43**, the combination Donaldson-Munger teaches a method wherein, for said selective-rejection state, if said cumulative penalty count value has a zero value, said step of processing the incoming e-mail comprises the step of accepting the incoming e-mail [see *column 17, lines 38-67*]. The same motivation that was utilized in the combination of claims 11 and 42, applies equally as well to claim 12

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and 43 [see *Munger*, column 45, lines 25-36]. By this rationale **claims 12 and 43** are rejected.

Regarding **claims 13 and 44**: the combination Donaldson-Munger teaches a method wherein, for said selective-rejection state, if said cumulative penalty count value has a nonzero value [see *Donaldson*, column 22, lines 34-62], said step of processing the incoming e-mail comprises the steps of: specifying a rejection factor; generating a random number; and randomly rejecting the incoming e-mail on the basis of said rejection factor and said random number [see *Munger*, column 28, lines 5-67, column 29, lines 1-64]. The same motivation that was utilized in the combination of claims 11 and 42, applies equally as well to claim 13 and 44 [see *Munger*, column 45, lines 25-36]. By this rationale **claims 13 and 44** are rejected.

Regarding **claims 17 and 48**: the combination Donaldson-Munger teaches a method wherein if said cumulative penalty count value has a zero value [see *Donaldson*, column 17, lines 59-67], said step of processing the incoming e-mail comprises the steps of: deriving a resource usage factor; generating a random number; and randomly rejection the incoming e-mail on the basis of said resource usage factor, said random number, and said cumulative penalty count value [see *Munger*, column 28, lines 5-67, column 29, lines 1-64]. The same motivation that was utilized in the combination of claims 11 and 42, applies equally as well to claim 17 and 48 [see *Munger*, column 45, lines 25-36]. By this rationale **claims 17 and 48** are rejected.

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7. Claim 29 is rejected under 35 U.S.C. 102(e) as being unpatentable over Donaldson in view of Barchi et al (Barchi), U.S. Patent No. 6,507,866 B1.

**Regarding claim 29:** Donaldson teaches the invention substantially as claimed. Donaldson discloses the method of claim 28 wherein said sender behavior values include a member of the group consisting of: the number of e-mails (*column 32, lines 41-47; note that the number of recipient here represents the number of emails*), and total time of TCP connection time (*column 14, lines 43-47*), but does not teach how a sender behavior values includes the total size of e-mails.

In the same field of endeavor, Barchi et al teaches a step to define a sample email filtering system "*to include all e-mail messages that are tracked by unexpired records within the list, where N is a predetermined integer*" [see Barchi, column 9, lines 1-35].

Accordingly, it would have been obvious to one of ordinary skill in the networking art at the time the invention was made to have incorporated Barchi's teachings of sender behavior including values expressing the totals size of tracked e-mails, with the teachings of Donaldson, for the purpose of "*reducing or eliminating the volume of undesired e-mail messages received by a computer system or a server ...*" as stated by Barchi in lines 25-36 of column 1. By this rationale **claim 29** is rejected.



***Response to Arguments***

8. Applicant's Request for Reconsideration filed on November 29<sup>th</sup>, 2004 has been carefully considered but is not deemed fully persuasive. However, because there exists the likelihood of future presentation of this argument, the Examiner thinks that it is prudent to address Applicants' main points of contention.

A. Independent **claims 1, 20, 24, and 26** are allowable over Donaldson.

1. Namely applicant suggests that Donaldson does not teach or suggest a penalty count as claimed.

2. As to **claims 20 and 24**, applicant points out that Donaldson does not teach a resource usage value.

3. Namely applicant suggests that the Office action cherry picks portions of Donaldson in an attempt to make Donaldson appear as if it is anticipatory reference when, in fact it is not.

B. Applicant contends that dependent **claims 2-10, 21-23, 25, 27, 28, 30, and 32-34** are allowable at least based on the allowability of their respective base claims.

1. With respect to **claim 5**, Donaldson does not teach or suggest both an activity penalty count and a time-dependent count.

2. With respect to **claim 6**, Donaldson does not teach or suggest said time-dependent penalty count comprises a zero value subsequent to a pre-established retention.

3. With respect to **claim 7**, Donaldson does not teach or suggest the use of a decay factor to reduce the penalty count.

C. Applicant contends that **claims 11-13** rejection over Donaldson in view of Munger is respectfully traversed, because there is no motivation or suggestion to combine Donaldson with Munger.

D. Applicant contends that **claim 29** rejection is respectfully traversed , and is allowable at least based on the allowability of its respective base claim.

E. Applicant contends that new **claims 37-50**, added to this amendment are allowable and that no new matter has been added. Applicant notes the indication of allowable subject matter in claims 14-19, 31, 35, and 36.

9. As to "Point A-1" it is the position of the Examiner that Donaldson in detail teaches the limitations of the above-mentioned claims. However, in view of Applicant's remarks, stating that Donaldson does not disclose a penalty count , Applicant's arguments are deemed moot in view of the new grounds of rejection as explained above [see *Donaldson*, column 23, lines 25-36; column 22, lines 25-33; fig. 16, items 1500-1518; note that the penalty count value here is the threshold number points or the matching count]. Applicant suggests that on page 12 of his/her remarks that the Donaldson indicates that its matching points are point required to characterize the remote host as a dialup". The examiner interprets Donaldson as disclosing the dialup

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as an example to determine “a type of source, or sender “ required to characterize the remote host and its behavior [see *Donaldson column 22, lines 23-26*].

As to “Point A-2”, it is also the Examiner’s position that at Col. 16, lines 12-29, Donaldson teaches a resource usage status by specifying internal states variables that are set or reset to indicate that resources have been deallocated.

As to “Point A-3”, it is the Examiner’s position that this Office Action is in conformity with the MPEP rules and regulations as it demonstrates that this application does not point out the claimed patentable novelty with respect to Donaldson. In fact Donaldson discloses a method and an apparatus to handle and filter junk emails similarly to this application. Examiner concludes there was no cherry picking of Donaldson in addressing the claims of this application.

As to “points B-1 and B-2”, it is also the Examiner’s position that Donaldson suggests a result zero as a penalty count that matches a particular filter with respect to subsequent pre-establishes conversion of values based on optional textual information such as the filter date creation [see *Donaldson, page 17, column 49-67*].

As to “point B-3”, it is also the Examiner’s position that Donaldson discloses the use of a decay factor by subtracting 2 from the match count or the penalty count and closing the test connection [see *Donaldson, column 25, lines 37-64*].

As to “point C” it is the examiner’s position that there is sufficient motivation or suggestion to combine Donaldson with Munger to reject claims 11-13 over Donaldson in view of Munger [see *rejection of claims 11-13 above*].

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As to "point D" it is the examiner's position that Applicant contention that "claim 29 rejection is respectfully traversed , and that it is allowable at least based on the allowability of its respective base claim" as no basis and claim 29 stands rejection [see *rejection above*].

As to "point E" it is the examiner's position that Applicant contention that new claims 37-50, added to this amendment are allowable" has no solid ground and new claims 37-50 are rejected with the exception of claims 45-47, and 49-50, which are similar to previously allowable claims. In addition, claim 17, previously allowable has been rejected based on new grounds. New set of claims with allowable subject matter are as follow: 14-16, 18-19, 31, 35-36, 45-47, and 49-50.

Examiner notes with delight that no new matter has been added and that the new claims are supported by the application as filed. However, applicant has failed in presenting claims and drawings that delineate the contours of this invention as compared to the cited prior art. Applicant has failed to clearly point out patentable novelty in view of the state of the art disclosed by the references cited that would overcome the 102(e) anticipation and the 103(a) rejections applied against the claims, the rejection is therefore sustained.

**Conclusion**

10. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE NON-FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

11. Any inquiry concerning this communication or earlier communications from examiner should be directed to Jude Jean-Gilles whose telephone number is (571) 272-3914. The examiner can normally be reached on Monday-Thursday and every other Friday from 8:00 AM to 5:30 PM.


If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Wiley, can be reached on (571) 272-3923. The fax phone number for the organization where this application or proceeding is assigned is (703) 305-3719.

Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the receptionist whose telephone number is (703) 305-3900.

Jude Jean-Gilles  
Patent Examiner  
Art Unit 2143

JJG

March 17, 2005



DAVID WILEY  
SUPERVISORY PATENT EXAMINER  
TECHNOLOGY CENTER 2100